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PTO/SB/21 (08-00)

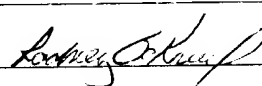
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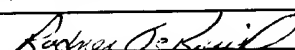
TRANSMITTAL FORM (to be used for all correspondence after initial filing) OCT 22 2001	Application Number	09/788,308	
	Filing Date	02/15/2001	
	First Named Inventor	Annelise E. Barron	
	Group Art Unit	1646	
	Examiner Name		
Total Number of Pages in This Submission	19	Attorney Docket Number	6374

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request 2 Month <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.821-1.825	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Statement Regarding Sequence Listing Disk containing computer readable form of sequences Copy of Notice to Comply
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Rodney D. DeKruif Reinhart, Boerner, Van Deuren, Norris & Rieselbach, s.c.
Signature	
Date	10/22/2001

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail No. EL 835272301 US: Box Sequence, Commissioner for Patents, Washington, D.C. 20231 on <u>October 22, 2001</u> .			
Typed or printed name	Rodney D. DeKruif		
Signature		Date	10/22/01

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<h1 style="margin: 0;">FEE TRANSMITTAL</h1> <h2 style="margin: 0;">for FY 2002</h2> <p style="font-size: small; margin: 0;">Patent fees are subject to annual revision.</p>		<p>Complete if Known</p>													
<p>TOTAL AMOUNT OF PAYMENT (\$) 200.00</p>		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Application Number</td> <td style="width: 50%;">09/788,308</td> </tr> <tr> <td>Filing Date</td> <td>February 16, 2001</td> </tr> <tr> <td>First Named Inventor</td> <td>Barron, Annelise E.</td> </tr> <tr> <td>Examiner Name</td> <td></td> </tr> <tr> <td>Group / Art Unit</td> <td>1646</td> </tr> <tr> <td>Attorney Docket No.</td> <td>6374</td> </tr> </table>		Application Number	09/788,308	Filing Date	February 16, 2001	First Named Inventor	Barron, Annelise E.	Examiner Name		Group / Art Unit	1646	Attorney Docket No.	6374
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<p>METHOD OF PAYMENT (check one)</p>					<p>FEE CALCULATION (continued)</p>																																																																																																																																																																																		
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any deficient fees and credit any over payments to:</p> <p>Deposit Account Number: 18-0882</p> <p>Deposit Account Name: Reinhart, Boerner, et al.</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p>					<p>3. ADDITIONAL FEES</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Fee Code</th> <th>Large Entity Fee (\$)</th> <th>Small Entity Fee Code</th> <th>Small Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for reexamination</td><td></td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>116</td><td>400</td><td>216</td><td>200</td><td>Extension for reply within second month</td><td>200.00</td></tr> <tr><td>117</td><td>920</td><td>217</td><td>460</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>118</td><td>1,440</td><td>218</td><td>720</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>128</td><td>1,960</td><td>228</td><td>980</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>320</td><td>219</td><td>160</td><td>Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>320</td><td>220</td><td>160</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>280</td><td>221</td><td>140</td><td>Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive - 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*For number previously paid, if greater: For Reissues, see above

<p>SUBMITTED BY</p>				<p>Complete (if applicable)</p>	
Name (Print/Type)	Rodney D. DeKruif	Registration No. Attorney/Agent	35,853	Telephone	414-298-8360
Signature				Date	October 22, 2001

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PATENT

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Reinhart, Boerner, Van Deuren, Norris & Rieselbach, s.c.

Dated: October 22, 2001

BY:

Rodney D. DeKruif
Rodney D. DeKruif

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Northwestern University

Serial No: 09/788,308

Filed: 02/16/2001

For: POLYPEPTOID
PULMONARY
SURFACTANTS

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Attorney Docket No.: 6374

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and Trademarks
Washington, D.C. 20231

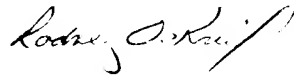
Statement Regarding Sequence Listing

Dear Sir:

Applicants hereby confirm that the content of the amino acid sequence listing information provided herewith does not include new matter and does not go beyond the original disclosure in the information application, as filed; and further state that the sequence listing information recorded and provided in computer readable form is identical to the written sequence listing.

The Examiner is invited to contact the undersigned if it is believed that additional sequences should be listed, or if there remains any other issue relating to the present submission and statement.

Respectfully submitted,



Rodney D. DeKruif
Attorney for Applicant
Registration No. 35,853

Reinhart, Boerner, Van Deuren,
Norris & Rieselbach, s.c.
1000 North Water Street
Suite 2100
Milwaukee, WI 53202
Telephone: 414/298-8360



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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO. (FILE)
09/788,308	02/16/2001	Annelise L. Barron	6374

CONFIRMATION NO. 7049

CORRECTION COVER LETTER



OC000000006188143

22922
REINHART BOERNER VAN DEUREN NORRIS & RIE
SELBACH, S.C.
ATTN: LINDA GABRIEL, DOCKET COORDINATOR
1000 NORTH WATER STREET SUITE 2100
MILWAUKEE, WI 53202-3186

Date Mailed: 06/20/2001

COVER LETTER FOR CORRECTION OF PREVIOUS NOTICE

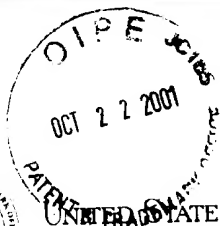
The Notice mailed on 06/06/2001 was sent in error and is hereby withdrawn. A corrected Notice is enclosed. The time period for reply runs from the mail date of the corrected Notice. We apologize for any inconvenience this caused.

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Initial Patent Examination Division (703) 308-1202

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FORMALITIES LETTER



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REINHART BOERNER VAN DEUREN NORRIS & RIE
SELBACH, S.C.
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Date Mailed: 06/20/2001

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

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A copy of this notice MUST be returned with the reply.

Ryan

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